IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Bahrs**	§	Group Art Unit: **2178**
	§	
Serial No.: **09/431,429**	§	Examiner: **Paula, Cesar B.**
	§	
Filed: **October 29, 1999**	§	Attorney Docket No.: **AUS990339US11**
	§	
For: **Method and Apparatus in a Data Processing System for Specifying the Sequencing and Mediation of Application Components**	§	

35525
PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

TRANSMITTAL DOCUMENT

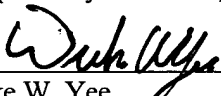Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:
ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AN; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee
Registration No. 34,285
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ATTORNEY FOR APPLICANT

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.
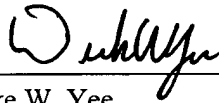
In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical

background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: December 23, 2003

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Page 1 of 1

Form PTO-1449	ATTORNEY DOCKET NO. AUS990339US11	SERIAL NO. 09/430,355
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)	APPLICANT **Bahrs**	
	FILING DATE **October 29, 1999**	GROUP ART UNIT **2178**

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA	6,532,498 B1	Mar. 11, 2003	Hager et al.	709/318	Nov. 13, 1997
	AB	5,713,045	Jan. 27, 1998	Berdahl	395/893	Jun. 29, 1995
	AC	6,557,046 B1	Apr. 29, 2003	McCauley, III et al.	709/318	Apr. 2, 1999
	AD	6,292,803 B1	Sep. 18, 2001	Richardson et al.	707/102	Nov. 18, 1997
	AE	6,442,620 B1	Aug. 27, 2002	Thatte et al.	709/316	Aug. 17, 1998
	AF	5,838,969	Nov. 17, 1998	Jacklin et al.	395/680	May 10, 1996
	AG	6,185,684 B1	Feb. 6, 2001	Pravetz et al.	713/182	Aug. 28, 1998
	AH	6,108,583	Aug. 22, 2000	Schneck et al.	700/9	Oct. 28, 1998
	AI	4,816,653	Mar. 28, 1989	Anderl et al.	235/380	May 16, 1986
	AJ	5,764,230	Jun. 9, 1998	Baradel et al.	345/346	Oct. 18, 1994
	AK	5,801,701	Sep. 1, 1998	Koppolu et al.	345/352	Sep. 4, 1996

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

	AL	Sullivan et al., "Reconciling Environment Integration and Software Evolution", ACM Transactions on Software Engineering and Methodology, Vol. 1, No. 3, July 1992, pp. 229-268.
	AM	Boone, "Harvesting Design for an Application Framework", Proceedings of the 1996 Conference of the Centre for Advanced Studies on Collaborative Research, November 1996, pp. 1-9.
	AN	Schuckmann et al., "Designing Object-Oriented Synchronous Groupware with COAST", Proceedings of the 1996 ACM Conference on Computer Supported Cooperative Work, November 1996, pp. 30-38.

RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED	EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.